

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 024051/0146

Applicant: Gary Allan KEMP II et al.

Title: CLICK BASED TRADING WITH INTUITIVE GRID DISPLAY OF
MARKET DEPTH AND PRICE CONSOLIDATION

Serial No.: 09/971,087

Filed: October 5, 2001

Examiner: R. Weisberger

Art Unit: 3624

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GROUP 3600

REQUEST FOR CONTINUED EXAMINATION (RCE)
TRANSMITTAL

Commissioner for Patents
Box RCE
Washington, D.C. 20231

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

1. Submission required under 37 C.F.R. §1.114: (check items that apply)

a. Previously submitted:

- ☐ Please enter and consider the amendment/reply previously filed on _____.
- ☐ Please consider the Affidavit(s)/Declaration(s) previously filed on _____ but not considered.
- ☐ Please consider the arguments in the Appeal Brief or Reply Brief under 37 C.F.R. § 1.116 previously filed on _____.
- ☒ Other: Information Disclosure Statements filed on November 14, 2002; December 18, 2002; and February 11, 2003.

b. Enclosed are:

- ☐ Amendment/Reply. -
- ☐ Affidavit(s)/Declaration(s).
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of _____ listed reference(s).
- ☒ Remarks Accompanying RCE.

Miscellaneous:

- ☐ Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of ___ months.

The filing fee is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Fee Totals
RCE Fee 1.17(e)				\$750.00	\$750.00
Total Claims:	12	46	0	x \$18.00	= \$0.00
Independents:	2	6	0	x \$84.00	= \$0.00
First presentation of any Multiple Dependent Claims:				+ \$280.00	= \$0.00
CLAIMS FEE TOTAL:					= \$750.00

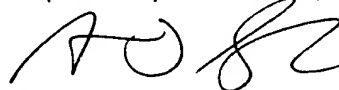
- ☐ Applicant hereby petitions for an extension of time under 37 C.F.R. § 1.136(a) for the total number of months checked below:

<input type="checkbox"/> Extension for response filed within the first month:	\$110.00	\$0.00
<input type="checkbox"/> Extension for response filed within the second month:	\$410.00	\$0.00
<input type="checkbox"/> Extension for response filed within the third month:	\$930.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fourth month:	\$1,450.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fifth month:	\$1,970.00	\$0.00
EXTENSION FEE TOTAL:		\$0.00
CLAIMS AND EXTENSION FEE TOTAL:		\$750.00
<input checked="" type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):		\$375.00
<input type="checkbox"/> Suspension of action requested under 37 C.F.R. § 1.103(c)		\$0.00
TOTAL FEE:		\$375.00

- ☒ A check in the amount of \$375.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,



Ankur D. Shah
Registration No. 41,514

MARCH 10, 2003

Date

FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109
Telephone: (202) 672-5300
Facsimile: (202) 672-5399

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REMARKS ACCOMPANYING REQUEST FOR CONTINUED EXAMINATION

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Washington, D.C. 20231

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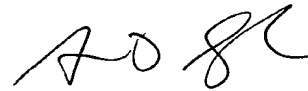
Applicant has filed concurrently herewith a Request for Continued Examination (RCE) in the subject application. Examiner Weisberger has recently indicated via telephone that prosecution in the application has been closed and that a notice of allowability has been entered. Applicant's review of data from the USPTO PAIR on-line database confirms that a notice of allowability was entered on September 30, 2002.

Believing prosecution in the present case to be closed, Applicant now submits the present RCE, per the Examiner's request, in order to ensure full consideration of the references cited in the Information Disclosure Statements previously filed on November 14, 2002, December 18, 2002 and February 11, 2003.

Respectfully submitted,

MARCH 10, 2003

Date



Ankur D. Shah

Registration No. 41,514

FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5143
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Facsimile: (202) 672-5399